

06/29/01

1991 U.S. PTO

Please type a plus sign (+) inside this box

07-02-01

PTO/SB/05 (2/98)

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	OBJ1110-8
First Inventor or Application Identifier	Stephen R. Kennon
Title	Method and System for Solving Finite Element Models Using Multi-Phase Physics
Express Mail Label No.	EL914823094US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal for FY 2000 (Submit an original and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages] 27 (preferred arrangement set forth below)	7. Nucleotide and Amino Acid Sequence Submission (if applicable, all necessary)
<input checked="" type="checkbox"/> - Descriptive Title of the Invention	a. <input type="checkbox"/> Computer-Readable Copy
<input checked="" type="checkbox"/> - Cross References to Related Applications	b. <input type="checkbox"/> Paper Copy (identical to computer copy)
<input checked="" type="checkbox"/> - Statement Regarding Fed sponsored R & D	c. <input type="checkbox"/> Statement verifying identity of above copies
<input checked="" type="checkbox"/> - Description of Related Art	
<input checked="" type="checkbox"/> - Field of the Invention	
<input checked="" type="checkbox"/> - Summary of the Invention	
<input checked="" type="checkbox"/> - Brief Description of the Drawings (if filed)	
<input checked="" type="checkbox"/> - Detailed Description	
<input checked="" type="checkbox"/> - Claim(s)	
<input checked="" type="checkbox"/> - Abstract of the Disclosure	
<input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] 6	
<input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Pages] 2	
a. <input type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	
<input type="checkbox"/> Incorporation By Reference (useable if box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	

ACCOMPANYING APPLICATION PARTS

8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney (unexecuted)
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> Return Receipt Postcard	
14. <input checked="" type="checkbox"/> Yes Small Entity	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail; Postcard;</u>	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-In-Part (CIP)	of prior Application No.: _____
Prior application information: Examiner _____			Group / Art Unit _____

☒ Claims the benefit of Provisional Application No. 60/215,697 filed June 29, 2000

CORRESPONDENCE ADDRESS:

Customer Number Label:
Gray Cary Ware & Freidenrich LLP
1221 So. MoPac Expressway, Suite 400
Austin, TX 78746
Ph: 512-457-7016 / Fax: 512-457-7001



TYPED or PRINTED NAME Mark L. Berrier
SIGNATURE [Signature]

REGISTRATION NO. 35,066
DATE: June 29, 2001

06/29/01

PTO/SB/17 (11/00)

Jc961 U.S. PTO FEE TRANSMITTAL for FY 2001	Complete if Known	
	Application Number	
	Filing Date	June 29, 2001
	First Named Inventor	Stephen R. Kennon
	Examiner Name	
	Group / Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$382.00)	Attorney Docket No. OBJ1110-8

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																						
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <input type="text"/> Deposit Account Name <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>\$</th> <th>Code</th> <th>\$</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surchrg - late filing fee or oath</td> <td><input type="text"/></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td><input type="text"/></td> </tr> <tr> <td>147</td> <td>2520</td> <td>147</td> <td>2520</td> <td>Filing a request for reexamination</td> <td><input type="text"/></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Request publication of SIR prior to Examiner action</td> <td><input type="text"/></td> </tr> <tr> <td>113</td> <td>1840*</td> <td>113</td> <td>1840*</td> <td>Request publication of SIR prior to Examiner action</td> <td><input type="text"/></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td><input type="text"/></td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190</td> <td>Extension for reply within second month</td> <td><input type="text"/></td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435</td> <td>Extension for reply within third month</td> <td><input type="text"/></td> </tr> <tr> <td>118</td> <td>1360</td> <td>218</td> <td>680</td> <td>Extension for reply within fourth month</td> <td><input type="text"/></td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150</td> <td>Notice of Appeal</td> <td><input type="text"/></td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150</td> <td>Filing a brief in support of appeal</td> <td><input type="text"/></td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130</td> <td>Request for oral hearing</td> <td><input type="text"/></td> </tr> <tr> <td>138</td> <td>1510</td> <td>138</td> <td>1510</td> <td>Petition to institute a public use proceeding</td> <td><input type="text"/></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive: unavoidable</td> <td><input type="text"/></td> </tr> <tr> <td>141</td> <td>1210</td> <td>241</td> <td>605</td> <td>Petition to revive: unintentional</td> <td><input type="text"/></td> </tr> <tr> <td>142</td> <td>1210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td><input type="text"/></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td><input type="text"/></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td><input type="text"/></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td><input type="text"/></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td><input type="text"/></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Statement</td> <td><input type="text"/></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property</td> <td><input type="text"/></td> </tr> <tr> <td>146</td> <td>690</td> <td>246</td> <td>345</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td><input type="text"/></td> </tr> <tr> <td>149</td> <td>690</td> <td>249</td> <td>345</td> <td>Each additional invention to be examined (37 CFR § 1.129(b))</td> <td><input type="text"/></td> </tr> <tr> <td colspan="4">Other fee (specify)</td> <td colspan="2"><input type="text"/></td> </tr> <tr> <td colspan="4">Other fee (specify)</td> <td colspan="2"><input type="text"/></td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3)</td> </tr> <tr> <td colspan="4"></td> <td colspan="2">(\$ 0.00)</td> </tr> </tbody> </table>					Large Entity		Small Entity		Fee Description	Fee Paid	Code	\$	Code	\$	105	130	205	65	Surchrg - 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SUBMITTED BY CUSTOMER NO. 25094:				Complete (if applicable)	
Name	Mark L. Berrier	Registration No.	35,066	Telephone	(512) 457-7016
Signature				Date	June 29, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Stephen R. Kennon
Date Filed: June 29, 2001
Title: **METHOD AND SYSTEM FOR SOLVING FINITE ELEMENT
MODELS USING MULTI-PHASE PHYSICS**

BOX PATENT APPLICATIONS

Hon. Asst. Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Utility Patent Application Transmittal Form, Utility Patent Application, Fee Transmittal, Unexecuted Declaration and Power of Attorney, Drawings and Postcard are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 29th day of June 2001, and is addressed to the Asst. Commissioner of Patents, ATTN: Box Patent Applications, Washington, D.C. 20231.


Janice Pampell

Express Mail Receipt No.:
EL914823094US
Attorney's Docket:
OBJ1110-8

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